

(MANx), CLOSED, DISCOVERY, MANADR, RELATED-G

UNITED STATES DISTRICT COURT for the CENTRAL DISTRICT OF CALIFORNIA (Western Division - Los Angeles)
CIVIL DOCKET FOR CASE #: 2:09-cv-03994-JFW-MAN

Securities and Exchange Commission v. Angelo Mozilo et al
Assigned to: Judge John F. Walter
Referred to: Magistrate Judge Margaret A. Nagle
Related Case: 2:07-cv-05295-MRP-MAN
Cause: 15:77 Securities Fraud

Date Filed: 06/04/2009
Date Terminated: 10/15/2010
Jury Demand: Both
Nature of Suit: 850 Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Plaintiff

Securities and Exchange Commission

represented by **John M McCoy , III**
Securities and Exchange Commission
5670 Wilshire Boulevard 11th Floor
Los Angeles, CA 90036
323-965-3998
Fax: 323-965-3908
Email: mccoymj@sec.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Lynn M Dean
Securities and Exchange Commission
5670 Wilshire Boulevard 11th Floor
Los Angeles, CA 90036
323-965-3998
Fax: 323-965-3908
Email: deanl@sec.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Paris Ahkeem Wynn

		Transcript Restriction set for 9/9/2010. (dc) (Entered: 06/11/2010)
06/11/2010	<u>116</u>	NOTICE OF FILING AMENDED TRANSCRIPT filed for proceedings 6-8-10 10:04 a.m. (dc) (Entered: 06/11/2010)
06/15/2010	<u>117</u>	APPLICATION for attorney Samuel Bryant Davidoff to Appear Pro Hac Vice (PHV Fee of \$185 receipt number 0973-7098530 paid.) filed by Defendant Angelo Mozilo. (Attachments: # <u>1</u> Certification of Good Standing, # <u>2</u> Proposed Order)(Jackson, Randall) (Entered: 06/15/2010)
06/16/2010	<u>118</u>	ORDER by Judge John F. Walter GRANTING Application to Appear Pro Hac Vice by Attorney Samuel Bryant Davidoff on behalf of Defendant Angelo R. Mozilo, designating Randall L Jackson as local counsel (PHV FEE PAID) <u>117</u> . (jp) (Entered: 06/16/2010)
06/16/2010	<u>119</u>	MINUTES OF Telephone Conference held before Magistrate Judge Margaret A. Nagle regarding the SEC's second supplemental response to Defendant's Interrogatory No. 11.Court Recorder: cs. (ec) (Entered: 06/17/2010)
06/21/2010	<u>120</u>	EX PARTE APPLICATION for Order for Granting Relief from the Presumptive Deposition Duration Limitation Set Forth in Rule 30(d)(1), with Respect to the Deposition of John P. McMurray, Former Chief Credit Risk Officer of Countrywide Financial Corporation filed by Plaintiff Securities and Exchange Commission. (Attachments: # <u>1</u> Memorandum of Points and Authorities, # <u>2</u> Declaration of Spencer E. Bendell, # <u>3</u> Proposed Order)(Wynn, Paris) (Entered: 06/21/2010)
06/21/2010	<u>121</u>	DECLARATION of Paris A. Wynn in Support of EX PARTE APPLICATION for Order for Granting Relief from the Presumptive Deposition Duration Limitation Set Forth in Rule 30(d)(1), with Respect to the Deposition of John P. McMurray, Former Chief Credit Risk Officer of Countrywide Financial Corporatio EX PARTE APPLICATION for Order for Granting Relief from the Presumptive Deposition Duration Limitation Set Forth in Rule 30(d)(1), with Respect to the Deposition of John P. McMurray, Former Chief Credit Risk Officer of Countrywide Financial Corporatio <u>120</u> filed by Plaintiff Securities and Exchange Commission. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11, # <u>12</u> Exhibit 12, # <u>13</u> Exhibit 13, # <u>14</u> Exhibit 14, # <u>15</u> Exhibit 15, # <u>16</u> Exhibit 16, # <u>17</u> Exhibit 17, # <u>18</u> Exhibit 18, # <u>19</u> Exhibit 19, # <u>20</u> Exhibit 20, # <u>21</u> Exhibit 21, # <u>22</u> Exhibit 22, # <u>23</u> Exhibit 23, # <u>24</u> Exhibit 24, # <u>25</u> Exhibit 25, # <u>26</u> Exhibit 26)(Wynn, Paris) (Entered: 06/21/2010)
06/22/2010	<u>122</u>	NOTICE of Change of Attorney Information for attorney Randall Louis Jackson counsel for Defendant Angelo Mozilo. Adding Eric J. Carsten as attorney as counsel of record for Angelo Mozilo for the reason indicated in the G-06 Notice. Filed by Defendant Angelo Mozilo (Jackson, Randall) (Entered: 06/22/2010)
06/23/2010	<u>123</u>	DEFENDANTS' JOINT RESPONSE re EX PARTE APPLICATION for Order for Granting Relief from the Presumptive Deposition Duration Limitation Set Forth in Rule 30(d)(1), with Respect to the Deposition of John P.

		277, # <u>53</u> Exhibit 278-80)(Siegel, David) (Entered: 08/02/2010)
08/02/2010	<u>178</u>	INVESTIGATIVE TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS FRANK AGUILERA re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>179</u>	INVESTIGATIVE TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS JOHN MCMURRAY re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>180</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS JOHN MCMURRAY re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>181</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS GREGORY HENDRY re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>182</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS MICHAEL LACOUR-LITTLE re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>183</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS VAN HESSER re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>184</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS CLIFFORD ROSSI re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>185</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS CHRISTOPHER BRENDLER re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>186</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOLS MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS ANNE MCCALLION re MOTION for Summary Judgment or Summary Adjudication <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/02/2010)
08/02/2010	<u>187</u>	NOTICE OF ERRATA filed by Defendant David Sambol. correcting Motion Related Document <u>176</u> (Brown, Walter) (Entered: 08/02/2010)

		(Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>195</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS KEVIN BARTLETT re MOTION for Summary Judgment or Summary Adjudication <u>155</u> , MOTION for Summary Judgment or Summary Adjudication <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>196</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS BRIAN KUELBS re MOTION for Summary Judgment or Summary Adjudication <u>155</u> , MOTION for Summary Judgment or Summary Adjudication <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>197</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS MICHAEL LACOUR-LITTLE, PH.D re MOTION for Summary Judgment or Summary Adjudication <u>155</u> , MOTION for Summary Judgment or Summary Adjudication <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>198</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS KENNETH LEHN, PH.D re MOTION for Summary Judgment or Summary Adjudication <u>155</u> , MOTION for Summary Judgment or Summary Adjudication <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>199</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS ANNE MCCALLION re MOTION for Summary Judgment or Summary Adjudication <u>155</u> , MOTION for Summary Judgment or Summary Adjudication <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>200</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS JOHN MCMURRAY re MOTION for Summary Judgment or Summary Adjudication <u>155</u> , MOTION for Summary Judgment or Summary Adjudication <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>201</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR

		SUMMARY ADJUDICATION: WITNESS JOHN MCMURRAY re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>155</u> , MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>202</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS SCOTT KURZBAN re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>155</u> , MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>203</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS CINDY SIMANTEL re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>155</u> , MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>204</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS TIMOTHY WENNES re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>155</u> , MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>205</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS VAN HESSER re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>155</u> , MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> , MOTION for Summary Judgment as to All Claims against Eric P. Sieracki <u>156</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>206</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS ANGELO MOZILO re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>207</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS: ROBERT DONATO re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>208</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS: SHIVARAMKRISHNAN IYER re MOTION for Summary

		Judgment <i>or Summary Adjudication</i> <u>157</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>209</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS JOHN CONNERS re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>210</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS JOHN CONNERS re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>211</u>	DEPOSITION TESTIMONY RELIED UPON IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS SUSAN BOW re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>157</u> filed by Defendant Angelo Mozilo. (Chou, Vicki) (Entered: 08/02/2010)
08/02/2010	<u>215</u>	MINUTES OF (1)Defendant David Sambols Motion To Compel Responses to His SecondSet of Requests for Admission; (2)Defendant Angelo Mozilos Motion To Compel Responses to Interrogatories Nos. 12 and 17 and Requests for Admission Nos. 4 through 30; (3)Defendant Angelo Mozilos Motion To Compel Production of Transcripts; and (4)Defendant Eric Sierackis Motion To Compel Responses to Requests for Admission Nos. 30-31, 43, 58, 64-67, and 69 and Requests for Production Nos. 3-14, 52-54, and 59. Denying <u>132</u> Motion to Compel; granting in part and denying in part <u>134</u> Motion to Compel; granting in part and denying in part <u>135</u> Motion to Compel; granting in part and denying in part <u>139</u> Motion to Compel; taking under advisement <u>151</u> Motion to Compel; held before Magistrate Judge Margaret A. Nagle. See attached minute order for further details.Court Recorder: CS 8/2/10. (mz) (Entered: 08/05/2010)
08/03/2010	<u>212</u>	DEPOSITION TESTIMONY RELIED UPON IN DAVID SAMBOL'S MOTION FOR SUMMARY JUDGMENT OR SUMMARY ADJUDICATION: WITNESS CLIFFORD ROSSI re MOTION for Summary Judgment <i>or Summary Adjudication</i> <u>155</u> filed by Defendant David Sambol. (Brown, Walter) (Entered: 08/03/2010)
08/03/2010	<u>213</u>	NOTICE OF ERRATA filed by Defendant David Sambol. correcting Motion Related Document <u>184</u> (Brown, Walter) (Entered: 08/03/2010)
08/03/2010	<u>214</u>	NOTICE OF ERRATA filed by Defendant Angelo Mozilo. correcting Statement (Motion related), Statement (Motion related) <u>174</u> (Attachments: # <u>1</u> Exhibit Defendants' Corrected Joint Statement of Uncontroverted Facts and Conclusions of Law in Support of Defendants' Motion for Summary Judgment)(Chou, Vicki) (Entered: 08/03/2010)
08/12/2010	<u>216</u>	TRANSCRIPT for proceedings held on 8-2-10 2:00 p.m.. Court Reporter/Electronic Court Recorder: Echo Reporting, Inc., phone number (858) 453-7590. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Electronic Court Recorder before the deadline for Release of Transcript Restriction.